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EASTERN DISTRICT OF CALIFORNIA
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MARC A. LEVINSON (STATE BAR NO. 57613)
malevinson@orrick.com
NORMAN C. HILE (STATE BAR NO. 57299)
nhile@orrick.com
JOHN W. KILLEEN (STATE BAR NO. 258395)
jkilleen@orrick.com
ORRICK, HERRINGTON & SUTCLIFFE LLP
400 Capitol Mall, Suite 3000
Sacramento, California 95814-4497
Telephone: (916) 447-9200
Facsimile: (916) 329-4900

Attorneys for Debtor
City of Stockton

UNITED STATES BANKRUPTCY COURT

EASTERN DISTRICT OF CALIFORNIA

SACRAMENTO DIVISION

In re: CITY OF STOCKTON, CALIFORNIA, Debtor.	Case No. 2012-32118 D.C. No. OHS-1 Chapter 9 **SUPPLEMENTAL DECLARATION OF ANN GOODRICH IN SUPPORT OF CITY OF STOCKTON'S STATEMENT OF QUALIFICATIONS UNDER SECTION 109(C) OF THE UNITED STATES BANKRUPTCY CODE**

I, Ann Goodrich, hereby declare:

1. I am a consultant and labor relations project manager retained by the City of Stockton, California ("the City") since January 9, 2011. I am affiliated with the firm of Renne Sloan Holtzman Sakai LLP ("Renne Sloan") as a Managing Consultant. In my capacity as the City's labor relations project manager, I coordinate the City's labor negotiations with all of its employee groups and prepare recommendations for the City regarding its negotiations. I make this supplemental declaration in support of the City's Statement Of Qualifications Under Section 109(c) and concurrently with the City's Submission Of Evidence Relating To Neutral Evaluation Process Under California Government Code § 53760.3(q). This declaration is based on my personal knowledge, and if called as a witness I could and would testify as follows

2. As the City's labor relations project manager, I was extensively involved in the AB 506 mediation process, particularly as regards negotiations between the City and its nine labor associations and between the City and a group ("ARECOS") representing its retirees. I attended, and/or supervised the City's labor counsel who attended, each of the meetings with the labor unions and retirees. Attached hereto as Exhibit A is a chart prepared under my direction by myself and other representatives of the City who attended these meetings. It accurately reflects the number and length of meetings between the City and its various creditors during the neutral evaluation process, as well as the identity of the participants at such meetings (the "Mediation Sessions Summary"). The Mediation Sessions Summary also contains a general description of the purpose of each meeting and the issues discussed compiled by the same persons under my direction. The general description does not purport to represent every topic covered in each meeting, since it was common for the City and the interested parties to discuss numerous topics in any particular session. Also included within the Mediation Sessions Summary are true and correct copies of sign-in sheets for many of these meetings, with personal information redacted.

3. As the Mediation Sessions Summary reflects, the initial mediation sessions focused on the City's financial status, including its 10-year budget projection. Also, during the first week of negotiation – the week commencing on May 14 – the City met with its creditors, including the nine labor groups, under the supervision of the mediator, Judge Ralph Mabey.

I, Ann Goodrich, hereby declare:

1. I am a consultant and labor relations project manager retained by the City of Stockton, California ("the City") since January 9, 2011. I am affiliated with the firm of Renne Sloan Holtzman Sakai LLP ("Renne Sloan") as a Managing Consultant. In my capacity as the City's labor relations project manager, I coordinate the City's labor negotiations with all of its employee groups and prepare recommendations for the City regarding its negotiations. I make this supplemental declaration in support of the City's Statement Of Qualifications Under Section 109(c) and concurrently with the City's Submission Of Evidence Relating To Neutral Evaluation Process Under California Government Code § 53760.3(q). This declaration is based on my personal knowledge, and if called as a witness I could and would testify as follows

2. As the City's labor relations project manager, I was extensively involved in the AB 506 mediation process, particularly as regards negotiations between the City and its nine labor associations and between the City and a group ("ARECOS") representing its retirees. I attended, and/or supervised the City's labor counsel who attended, each of the meetings with the labor unions and retirees. Attached hereto as Exhibit A is a chart prepared under my direction by myself and other representatives of the City who attended these meetings. It accurately reflects the number and length of meetings between the City and its various creditors during the neutral evaluation process, as well as the identity of the participants at such meetings (the "Mediation Sessions Summary"). The Mediation Sessions Summary also contains a general description of the purpose of each meeting and the issues discussed compiled by the same persons under my direction. The general description does not purport to represent every topic covered in each meeting, since it was common for the City and the interested parties to discuss numerous topics in any particular session. Also included within the Mediation Sessions Summary are true and correct copies of sign-in sheets for many of these meetings, with personal information redacted.

3. As the Mediation Sessions Summary reflects, the initial mediation sessions focused on the City's financial status, including its 10-year budget projection. Also, during the first week of negotiation – the week commencing on May 14 – the City met with its creditors, including the nine labor groups, under the supervision of the mediator, Judge Ralph Mabey.

Judge Mabey met individually with representatives of the labor groups and then, very briefly, with representatives of ARECOS. He then met with City representatives. By the second day, the labor groups expressed a desire to come together as a coalition and work in concert during the remainder of the mediation process. Attorney representatives from both sides met and came to terms on ground rules for how the coalition would operate.

4. Following the establishment of these procedures, Judge Mabey generally met individually with the coalition and then with City representatives, shuttling proposals, counterproposals and requests for information between the two groups. When the City provided proposals or counterproposals, City representatives met with the coalition and made a small presentation and walked through the proposal itself. When the coalition had a response, the coalition representative—Dee Contreras—met with City representatives, including myself, to convey the coalition's proposal or counterproposal. On one occasion, a few representatives of the coalition and the City formed a "sub group" to address a specific issue. Judge Mabey was present at virtually all meetings between the City and the coalition.

5. On a number of occasions, I and other City representatives met individually with specific labor groups to discuss issues particular to that group. For example, on June 14, Dania Torres Wong of Renne Sloan met with the International Association of Firefighters Local 456 and the Stockton Fire Management Unit for several hours, and Jon Holtzman of Renne Sloan met individually with the Stockton Police Officers Association (SPOA), the Stockton Police Management (SPMA), and the Stockton City Employees Association (SCEA). These individualized meetings took place throughout the several weeks of AB 506 mediation. Judge Mabey attended several but not all of those individualized sessions.

6. Attached hereto as Exhibit B is a chart that I compiled based on my personal knowledge and with the help of other members of the City's team. It accurately reflects the status of negotiations between the City and each interested party as of both the petition date and as of today. Based on my involvement in the AB 506 process, it reflects that as of today, the City has reached tentative agreements on new collective bargaining agreements with six of its nine labor groups, each of which has ratified its tentative agreement. The City Council will consider

approval of the six agreements at a public session on July 24, 2012. City staff has recommended approval.

Executed this 20th day of July 2012, at Santa Barbara, California. I declare under penalty of perjury under the laws of the State of California and the United States of America that the foregoing is true and correct.

Ann Goodrich

Exhibit A

MEDIATION SESSIONS SUMMARIES

Date (2012)	Approx. Duration (hrs.)	Mediation or Information Sessions and Attendees	Purpose of the Mediation or Information Session
March 8		Fire Management and Fire Unit	Discussion of personnel cost restructuring proposals
March 21	1	Conference call with all or virtually all Participants	Initial meeting to discuss next steps, selection of mediator, location and timing of mediation sessions and allocating payment of the mediator and costs of mediation
March 26	1	Conference call with all or virtually all Participants	Follow-up on March 21 call and discussion of the selection of Judge R. Mabey as mediator
March 28	5	Coalition Bargaining (all parties representing labor invited, and all or virtually all participated). Judge Mabey not present.	Meeting to discuss City's Governmental Accounting Standards Board (GASB) liability
April 11	5	Coalition Bargaining (all parties representing labor invited, and all or virtually all participated). Judge Mabey not present.	Discussion of various labor and retiree restructuring proposals
April 30	All day	Judge Mabey and virtually all Participants, including many financial advisors. See attached attendance sheet.	Introduction the mediation and disclosure of and discussion of the City's finances. Presentation of multi-year financial forecast and detailed assumptions with the forecast model. An interactive version of the model was provided Participants along with the Ask
May 1	All day	Judge Mabey and virtually all Participants, including many financial advisors. See attached attendance sheet.	Continuing discussion of the City's finances, including an analysis of restricted and partially restricted funds
May 2	Morning to mid-afternoon	Judge Mabey and financial advisors and a few attorneys	Continuing discussion of the City's finances with a focus on the City's 10-year budget projection
May 10	1	Conference call with Judge Mabey and capital markets creditors	Financial data, the City's Ask and related topics
May 14	All day	Judge Mabey and all nine labor unions	Presentation of City proposals
May 15	All day	Coalition Bargaining (all parties representing labor invited, and all or virtually all participated)	Discussion of various labor and retiree restructuring proposals
	All day	Judge Mabey and Capital Market Representatives from: ▪ Assured Guaranty ▪ National Public Finance Guarantee Corporation ▪ Franklin Advisers ▪ Wells Fargo Bank, National Association, as Indenture Trustee ▪ Dexia Credit Local	All day mediation session involving shuttle diplomacy between Judge Mabey, the City and the various participants regarding the Ask and chapter 9 issues

Date (2012)	Approx. Duration (hrs.)	Mediation or Information Sessions and Attendees	Purpose of the Mediation or Information Session
May 16	1	Judge Mabey and Price Case Plaintiffs	Discussion of proposed treatment of judgment creditor
	All day	Coalition Bargaining (all parties representing labor invited, and all or virtually all participated)	Discussion of various labor and retiree restructuring proposals
	All day	Judge Mabey and all nine labor unions, retirees (ARECOS), Howard Jarvis Taxpayers' Association, Capital Market Representatives from: ▪ Assured Guaranty ▪ National Public Finance Guarantee Corporation ▪ Franklin Advisers ▪ Wells Fargo Bank, National Association, as Indenture Trustee ▪ Dexia Credit Local	All day mediation session involving shuttle diplomacy between Judge Mabey, the City and the various Participants regarding the Ask and chapter 9 issues
May 17	3	Stockton Police Management Association (SPMA)	Discussion of personnel cost restructuring proposals
	3	Operating Engineers Local Union No. 3 (OE3)	Discussion of personnel cost restructuring proposals
	3	Fire Management and Fire Unit	Discussion of personnel cost restructuring proposals
	4	Stockton Police Officers Association (SPOA)	Discussion of personnel cost restructuring proposals
May 18	2	Stockton City Employees Association (SCEA)	Discussion of personnel cost restructuring proposals
May 21	2	Management Supervisory Level Bargaining Unit (B&C)	Discussion of personnel cost restructuring proposals
May 22	6	Stockton Police Officers Association (SPOA)	Discussion of personnel cost restructuring proposals
May 23	2	Fire Management and Fire Unit	Discussion of personnel cost restructuring proposals
May 24	3	Stockton Police Management Association (SPMA)	Discussion of personnel cost restructuring proposals
	2	Operating Engineers Local Union No. 3 (OE3)	Discussion of personnel cost restructuring proposals
May 29	All day	Coalition Bargaining (all nine labor unions participated)	Discussion of various labor and retiree restructuring proposals
	1	Stockton Police Management Association (SPMA)	Discussion of personnel cost restructuring proposals
May 30	All day	Coalition Bargaining (all nine labor unions participated)	Discussion of various labor and retiree restructuring proposals
	1	Stockton City Employees Association (SCEA)	Discussion of personnel cost restructuring proposals
May 31	3	Coalition Bargaining (all nine labor unions participated)	Discussion of various labor and retiree restructuring proposals

Date (2012)	Approx. Duration (hrs.)	Mediation or Information Sessions and Attendees	Purpose of the Mediation or Information Session
June 4	5	Stockton City Employees Association (SCEA)	Discussion of various labor and retiree restructuring proposals
	2	Operating Engineers Local Union No. 3 (OE3)	Discussion of personnel cost restructuring proposals
June 5	5	Stockton Police Management Association (SPMA)	Discussion of personnel cost restructuring proposals
June 6	3	Stockton Police Officers Association (SPOA)	Discussion of personnel cost restructuring proposals
	All day	Financial Advisors Assured Guaranty and City Finance and management staff; counsel and Judge Mabey not present	Discussion at City Hall of City finances, including model assumptions, restricted funds analysis, asset inventory and other issues. Specific City restructuring proposals on: ▪ 2007 Taxable Pension Obligation Bonds, Series A & B ▪ 2007 Series A and Taxable Series B, Variable Rate Demand Lease Revenue Bonds
June 7	2	Fire Management and Fire Unit	Discussion of personnel cost restructuring proposals
June 8	3	Stockton Police Management Association (SPMA)	Discussion of personnel cost restructuring proposals
	2	Stockton City Employees Association (SCEA)	Discussion of personnel cost restructuring proposals
	4	Stockton Police Officers Association (SPOA)	Meeting with representatives from the California Public Employees' Retirement System (CalPERS) to discuss retirement benefits
June 11	1-2	ARECOS (see attached sign-in sheet)	Discussion of the Ask and the ARECOS counterproposal
	Noon through 11:30 p.m.	Coalition Bargaining (all nine labor unions participated)	Discussion of various labor and retiree restructuring proposals
June 12	1	Coalition Bargaining (all nine labor unions participated)	Discussion of various labor and retiree restructuring proposals
June 13	All day	Coalition Bargaining (all nine labor unions participated)	Discussion of various labor and retiree restructuring proposals
June 14	All day	Coalition Bargaining (all nine labor unions participated)	Discussion of various labor and retiree restructuring proposals

Date (2012)	Approx. Duration (hrs.)	Mediation or Information Sessions and Attendees	Purpose of the Mediation or Information Session
	1	Management Supervisory Level Bargaining Unit (B&C)	Discussion of personnel cost restructuring proposals
June 15	1	Conference call with Judge Mabey and ARECOS	Discussion of the ARECOS counterproposal
	All day	Coalition Bargaining (all nine labor unions participated)	Discussion of various labor and retiree restructuring proposals
June 25	2	Fire Management and Fire Unit	Discussion of personnel cost restructuring proposals
	4	Stockton Police Officers Association (SPOA)	Discussion of personnel cost restructuring proposals

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CITY OF STOCKTON

Meeting Attendance Sheet

Date: Thursday, January 12, 2012
Time Begin: 10:30 am
Time End: Noon
Location: Human Resources office, City of Stockton
Union/Dept: SPMA
Topic: Negotiations, Meeting/Session 1

First Name	Last Name	Title	Union/Dept	Signature
EDWIN	GATO	PROGRAM MGR		
Bonnie	Whore Silveria	HR Anal	H/R	
EMILY	PRESCOTT	NEGOTIATOR	HR	
Kimberly	Trammel	Program Manager I	Budget	Kimberly K.
DANIA	WONG	NEGOTIATOR	city	
Lina	Zakhary	SPMA		
JIM	PICKENS	SPMA PRESIDENT		
DAVID	SWIM	GOYSITE		
CHUCK	FLESHER	SPMA V.P.		

CITY OF STOCKTON

Meeting Attendance Sheet

Date: Tuesday, January 17, 2012
Time Begin: 10:30
Time End: 11:30
Location: Human Resources, Conf. Room 169
Union/Dept: Fire Mgmt./Fire Units
Topic: Negotiations

First Name	Last Name	Title	Union/Dept	Signature
Bonnie	White Silvera	HR Analyst	HR	
LANCE	CALKINS	Deputy CHIEF	FIRE	
RICK	Stubstad	Battalion Chief	Fire	
Mike	Lichenthal	BC	Union	
GREG	BIDDLE	V.P.	UNION	
DAVE	MALEDO	PRES.	Union	
John	Yotaw		UNION 456	
BRYAN	CARR	SECT.	L 456	
EDWIN	GATO	Program Mgr.	Budget	
Kimberly	Trammel	Program Mgr. II	Budget	
Dania	Wong	Negotiator	City	

CITY OF STOCKTON

Meeting Attendance Sheet

Date: Thursday, January 26, 2012
Time Begin:
Time End:
Location: Human Resources Office, City of Stockton
Union/Dept: SPMA
Topic: Negotiations meeting, Session 2

First Name	Last Name	Title	Union/Dept	Signature
Bonnie	White Silvera	HR Analyst	HR	
Troy	Broddrick	Deputy Chief	Police	
Chuck	Flesher	VP	SPMA	
David	Swim	Dr.	Goystee	
Jim	Pickens	PPEB	SPMA	
Tina	Zalkman	Secretary	SPMA	
Kimberly	Trammel	Prog. Mgr. II	Budget Office	
Daria	Wong	Chief Neg.	City	

CITY OF STOCKTON

Meeting Attendance Sheet

Date: Wednesday, February 1, 2012
Time Begin: 2:05pm
Time End: 3:30pm
Location: Human Resources, Conf Rm 169
Union/Dept: Fire / Fire Mgmt
Topic: Negotiations

First Name	Last Name	Title	Union/Dept	Signature
Bonnie	Wynne Silvera	Prog. Spec HR	HR	
DAVE	PALEDO	LOCAL 456		
John	VOTAW	Local 456	Fire	
BRIAN	CARR	Local 456	FIRE	
EDWIN	GATO	PROGRAM MGR	BUDGET	
DANIA	WONG	Gen City	City	
8414 LANCE	CALKINS	DEPUTY CHIEF	FIRE	8801
DAVE	RUDAT	Chief	Fire	

CITY OF STOCKTON

Meeting Attendance Sheet

Date: FEB. 21, 2012
Time Begin: 11:00 am
Time End: 11:55 am
Location: HR Conf Rm 19
Union/Dept: Fire / Fire Mgmt
Topic: Negotiations

First Name	Last Name	Title	Union/Dept	Signature
Bonnie	Silvera	HR Prog. Anal	HR	Bonnie Silvera
BRYAN	CARR	FF	L456	
DAVE	MACEDO	FF	L 456	
Pamela	WONG	RSHS		
Leslie	Ruiz	RSHS/Intern		
LANCE	CALKINS	DEPUTY CHIEF	FIRE ADMIN.	

CITY OF STOCKTON

Meeting Attendance Sheet

Date: May 17, 2012
Time Begin: 9:20 a.m.
Time End:
Location: HR - City of Stockton
Union/Dept: Fire & Fire Mgmt.
Topic: Negotiations

First Name	Last Name	Title	Union/Dept	Signature
DAVE	MACEDO	President	Fire 456	
Mark	Renner	Counsel	"	
Bryan	Corr	FF	"	
GREG	BIODLE	V.P.	456	
Dana	WONG	City		
BRENDAN	TREY	City	RSMJ	
Adele	Post	City HR Analyst	COS	
Mike	Lilienthal	Management	456	

CITY OF STOCKTON
Meeting Attendance Sheet

Date: 5/17/12
Time Begin: 9:23am
Time End: 12:48 pm
Location: Stockton - HR Conference Room
Union/Dept: OE3
Topic: Negotiations

First Name	Last Name	Title	Union/Dept	Signature
RICHARD	STIFFLER	TECH. SVC. SUP	OE3/MUD	R. Stiffler
Joe	Rose	Attorney	OE3	[illegible]
Joe	Santella	Sr. Bus. Rep	OE3	Joe Santella
mike	Eggener	Bus Rep	OE3	[illegible]
Henry	Torres	Sr. Maint. Repair Tech.	OE3	Henry Torres
EMILY	PIRESIOTI	attorney	City	[illegible]
Allyson	Hauck	attorney	City	[illegible]
Stephanie	Van Steyn	HR Analyst II	City/HR	S. Van Steyn

CITY OF STOCKTON

Meeting Attendance Sheet

Date: May 17 2012
Time Begin: 1:35 pm
Time End: 4:45 pm
Location: HR City of Stockton
Union/Dept: SPMA
Topic: Negotiations

First Name	Last Name	Title	Union/Dept	Signature
CHUCK	FLESHER	V.P.	SPMA	
DEE	CONTRERAS	G&A		
DAVE	SWAN	G&A		
JIM	PICKENS	PRES.	SPMA	
Genevieve	Ng	RSHS		
Jon	Hoffman	RSHS		
JHoffman@publiclawgroup.com				
Adele	Post	HR Analyst	COS-HR	Adele Post
CHUCK	FLESHER	[redacted]		
	OR:			
Dee Contreras				
DAVE SWAN		[redacted]		
JIM PICKENS		[redacted]		
Genevieve	Ng	[redacted]		
Adele Post		[redacted]		

CITY OF STOCKTON

Meeting Attendance Sheet

Date: 5/18/2012
Time Begin: 9:20 am
Time End: 11:40 am
Location: City of Stockton, HR
Union/Dept: SCEA
Topic: Negotiations

First Name	Last Name	Title	Union/Dept	Signature
Debra	Froshaug	President	SCEA	Debra Froshaug
Joe	Rose	Attorney	SCEA	[illegible]
Veronica	Segura	Vice President	SCEA	Veronica Segura
Jon	[illegible]	RSHS		[illegible]
Brendan	Tully	RSHS	City	[illegible]
Adele	Post	HR Aa	COS/HR	Adele Post

CITY OF STOCKTON
Meeting Attendance Sheet

Date: 5/21/12
Time Begin: 1:46 pm
Time End: 3:40 pm
Location: Stockton - HR Conference Room
Union/Dept: mid-Management / Supervisory
Topic: Negotiation Meeting #1

First Name	Last Name	Title	Union/Dept	Signature
Ali	Gharegozloo	Principal Engr.	MUD	Ali Gharegozloo
[redacted]				
Reaches	Ehrich	Supervising Librarian	B+C	[signature]
[redacted]				
Dee	Contreras	G+A Rep		Dee Contreras
[redacted]				
Kim	Gillingham	G+A		Kim Gillingham
[redacted]				
Robert	Andrade	Police Sup.	Police	[signature]
[redacted]				
LINDA	McGREW	TECH. SUPERVISOR	IT	[signature]
[redacted]				
Allyson	Hauck	~~RSH~~ Attorney	RSHS	[signature]
Genevieve	Ng		RSHS	[signature]
[redacted]				
Stephanie	Van Steyn	HR Analyst II	City/HR	S. Van Steyn
[redacted]				

CITY OF STOCKTON

Meeting Attendance Sheet

Date: May 22, 2012
Time Begin: 10:40 a.m.
Time End: 4:00 p.m.
Location: Human Resources, City of Stockton
Union/Dept: SPOA
Topic: Negotiations

First Name	Last Name	Title	Union/Dept	Signature
Greg	Speers	SPOA VP	SPOA	
John	Snyder	SPOA Director	SPOA	
Kathryn	Nance	SPOA Director	SPOA	
Jose	Lillo	SPOA Treasurer	SPOA	
Steve	Leonesio	President	SPOA	
David	Mastagni	Atty	SPOA	
Mark	McLaughlin	SPOA	SPOA	
Damion	Underwood	SPOA Director	SPOA	
Jon	Holtzman	RSHS	City	
Brandon	Darby	RSHS	City	
Adele	Post	HR Analyst	City/HR	

Fire & Fire Management Meeting Attendance Sheet (based on notes)

Date: May 23, 2012
Time: 9:40 a.m.

Sign-in City:
Dave Rudat
Dania Torres Wong
Brendan Tully

Sign-in Local 456:
Dave Macedo
Greg Biddle
Rick Stubstad
Bryan Carr

SPMA Meeting Attendance sheet

Date	May 24, 2012
Time	1:35 pm
Time	4:30 pm
Location	Sacramento Conference Room (RSHS)
Topic	Negotiation/AB 506 Meeting #2

Sign In

Genevieve Ng	Stockton	[redacted]
Jim Pickens	SPMA	[redacted]
David Swim	SPMA	[redacted]
Chuck Flesher	SPMA	[redacted]
Lee Contreras	SPMA	[redacted]
Jon Holtzman	Stockton	[redacted]

CITY OF STOCKTON

Meeting Attendance Sheet

Date: 5/25/12
Time Begin: 2:13 pm
Time End: 4:45 pm
Location: Stockton - HR conference room
Union/Dept: OE3
Topic: Negotiation meeting #2

First Name	Last Name	Title	Union/Dept	Signature
JAMES	SAUNDERS		OE3	
RICHARD	STIFFLER	SUP	OE3	
JOE	SANTELLA	Bus Rep OE3	OE3	
MICHAEL	EGGENER	" " "	OE3	
Henry	Torres	Sr. Maint. Repair Tech.	OE3	Henry Torres
BRENDAN	TURY	RSHS	CITY	
Emily	Prescott	RSHS	city	
Stephanie	Van Steyn	HR Analyst II	city	S. Van Steyn

CITY OF STOCKTON

Meeting Attendance Sheet

Date: 6/4/12
Time Begin: 10:35 am
Time End: 12:50 pm
Location: Stockton, CA
Union/Dept: OE3 Negotiation Meeting
Topic:

First Name	Last Name	Title	Union/Dept	Signature
Henry	Torres	Stama (Tem)	OE3	Henry Torres
JOE	SANTELLA	Sr. Bus Rep	OE3	Joe Santella
Joe	Rose	Attorney	OE3	[illegible]
RICHARD	STAFFEN	WATER SUP.	OE3	[illegible]
JAMES	SAUNDERS	BIM	OE3	[illegible]
EMILY	PRESCOTT	CITY	City	[illegible]
Stephanie	Van Steyn	HR Analyst II	City/HR	S. Van Steyn

CITY OF STOCKTON

Meeting Attendance Sheet

Date: June 4, 2012
Time Begin: 1:35 pm
Time End: 4:50 pm
Location: HR, City of Stockton
Union/Dept: SCEA
Topic: Negotiations

First Name	Last Name	Title	Union/Dept	Signature
PAULINE	KEENER	Community Service Officer	SCEA BOARD	
Debra	Freshang	Office Specialist	SCEA	Debra Freshang
VERONICA	SEGURA	VP of SCEA	SCEA	Veronica Segura
Joe	Rose	Attorney	SCEA	
Adele	Post	HR Analyst	City/HR	Adele Post
Jon	Ho Hemes	City		

CITY OF STOCKTON

Meeting Attendance Sheet

Date: 6/6/2012
Time Begin: 10:00 am
Time End: 1:00 pm
Location: HR Conference Room, City of Stockton
Union/Dept: SPOA
Topic: Negotiations

First Name	Last Name	Title	Union/Dept	Signature
Greg	Speers	SPOA VP	SPOA	[signature]
STEVE	LEONESIO	PRESIDENT	SPOA	[signature]
DAVID	MASTAGNI	Atty	SPOA	[signature]
ISAAC	STEVENS	LAWYER	SPOA	[signature]
MARK	McLaughlin	SPOA	SPOA	[signature]
KATHRYN	[illegible]	SPOA	SPOA	[signature]
RALPH	MABEY	Neutral	Neutral	[signature]
BRENDAN	[illegible]	CITY/RSMS	City	[signature]
JON	[illegible]	City	CITY	[signature]
Marc	Levinson	City	City	[signature]
Dania	Wong	city	city	[signature]
Adele	Post	HR Analyst	City	[signature]

CITY OF STOCKTON

Meeting Attendance Sheet

Date: June 6, 2012
Time Begin: 1:30 pm
Time End: 3:00 pm
Location: HR City of Stockton
Union/Dept: SCEA
Topic: Negotiations

First Name	Last Name	Title	Union/Dept	Signature
Marc [illegible]	Levinson		City	[signature]
Debra	Froshaug	SCEA President	SCEA	Debra Froshaug
Pauline	Keenan	SCEA Board Officer	SCEA	[signature]
Veronica	Segura	SCEA VP	SCEA	Veronica Segura
Joe	Rose	SCEA Attorney	SCEA	[signature]
RALPH	MABEY	Neutral	Neutral	[signature]
	Hoffman	City		[signature]
Brendan	Tully	RSHS	City	[signature]
Adele	Post	HR Analyst	City/HR	Adele Post

CITY OF STOCKTON

Meeting Attendance Sheet

Date: June 7, 2012
Time Begin: 2:00 pm
Time End: 4:20 pm
Location: HR, City of Stockton
Union/Dept: Fire Unit, Fire Mgmt
Topic: Negotiations

First Name	Last Name	Title	Union/Dept	Signature
DAVE	MACFOO	President	IAFF 456	
GREG	BIDDLE	V.P.	IAFF	
BRIAN	CARR	Sect.	L456	
DAVID	RUDAT	Int. Fire Chief	FIRE	
Denia	Wong	City		
Adele	Post	HR Analyst	City/HR	Adele Post
Brendan	Tully	left @ 3:40 pm.		

CITY OF STOCKTON

Meeting Attendance Sheet

Date: June 8, 2012
Time Begin: 2:30
Time End: 4:10
Location: HR, City of Stockton
Union/Dept: SCEA
Topic: Negotiations

First Name	Last Name	Title	Union/Dept	Signature
Pauline	KEENER	SCEA BOARD	SCEA	[illegible]
Veronica	Spring	SCEA VP	SCEA	Veronica Spring
Debra	Frosbrug	SCEA President	SCEA	Debra Frosbrug
Felix	Poggemann	ROSE LAW ATTY	SCEA	[illegible]
Joe	Rose	Attorney	SCEA	[illegible]
Jon	Sylvester	Atty	City	[illegible]
Adele	Post	HR Analyst	City/HR	Adele Post

SPMA Meeting Attendance Sheet (based on recollection, there is no sign-in sheet)

Date: June 8, 2012

Sign-in City:
Jonathan Holtzman

Sign-in SPMA:
Chuck Flesher
Jim Pickens
David Swin
Dee Contreras

Mid Management/Supervisory Employees Meeting Attendance Sheet
(based on recollection, there is no sign-in sheet)

Date: June 14, 2012

Sign-in City:
Genevieve Ng

Sign-in B&C:
Ali Gharegozloo
Peaches Ehrich
Dee Contreras
Kim Gillingham
Robert Applegate
Linda McGrew

CITY OF STOCKTON
Meeting Attendance Sheet

Date: 6/25/12
Time Begin: 9:20am
Time End: 11:53am
Location: Stockton
Union/Dept: Fire Unit
Topic: Negotiation Meeting

First Name	Last Name	Title	Union/Dept	Signature
Stephanie	Van Steyn	HR Analyst II	City	[illegible]
GREG	BIDDLE	VP	IAFF 456	[illegible]
DAVE	MACEDA	Pres	IAFF 456	[illegible]
Mark	Renner	Atty	IAFF 456	[illegible]
BRYAN	CARR	FF/Exec Sec	IAFF 456	[illegible]
Dania	WANG	atty/RSHS		[illegible]

CITY OF STOCKTON

Meeting Attendance Sheet

Date: June 25, 2012
Time Begin: 10:00 am
Time End: 2:00 pm
Location: City of Stockton, HR Dept.
Union/Dept: SPOA
Topic: Negotiations

First Name	Last Name	Title	Union/Dept	Signature
DAVID	MASTAGNI	Atty	SPOA	
KATHRYN	NANCE	BOARD	SPOA	
Mark	McLaughlin	Board	SPOA	
STEVE	LEONESIO	PRESIDENT	SPOA	
BRENDAN	MAY	RSHS	CITY	
Adele	Post	HR Analyst	City	Adele Post
	Holtzman	RSHS	City	

CITY OF STOCKTON

Meeting Attendance Sheet

Date: July 2, 2012
Time Begin: 10:30 am
Time End: 12:00 pm
Location: HR City of Stockton
Union/Dept: SPOA
Topic: Negotiations

First Name	Last Name	Title	Union/Dept	Signature
BILL	HUTTO	DIRECTOR	SPOA	[signature]
MARK	McLaughlin	Director	SPOA	[signature]
KATHRYN	NANCE	DIRECTOR	SPOA	[signature]
STEVE	LEONESIO	PRESIDENT	SPOA	[signature]
DAVID	MASTAGNI	Atty	SPOA	[signature]
JULIA	ENDE	OUTSIDE COUNSEL	CITY	[signature]
Jon	[illegible]	Outside Counsel	City	[signature]
Adele	POST	HR Analyst	City/HR	[signature]

CITY OF STOCKTON

Meeting Attendance Sheet

Date: July 6, 2012
Time Begin: 1:10 pm
Time End: 5:00 pm
Location: HR offices
Union/Dept: Fire / Fire Mgmt
Topic: Negotiations

First Name	Last Name	Title	Union/Dept	Signature
DAVE	MACEDO	President	FIRE 456	
BRYAN	CARR	Exec. Sec.	Fire 456	
GREG	BIDDLE	V.P.	456	
DANIA	WONG	RSHS	City	
Adele	Post	HR Analyst	HR/City	
Dave Rudat arrived at 1:25 pm & left at 3:45 pm				
Lance Calkins arrived at 3:00 pm & left at end of negotiations				

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CITY OF STOCKTON
April 30, 2012
AB 506 Meeting in Sacramento

NAME	REPRESENTING	EMAIL ADDRESS	PHONE NUMBER
1. RALPH MABEY	Neutral Evaluator		
2. Laurie Montes	City of Stockton		
3. Marc Levinson	Orrick for City of Stockton		
4. Kimberly Trammel	City of Stockton		
5. VANESSA BURKE	CITY OF STOCKTON		
6. David Mullican	City of Stockton		
7. Andy Belknap	City of Stockton Management Partners		
8. Bob Leland	City of Stockton Management Partners		

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CITY OF STOCKTON
April 30, 2012
AB 506 Meeting in Sacramento

NAME	REPRESENTING	EMAIL ADDRESS	PHONE NUMBER
9. John Luebberke	City of Stockton		
10. JIM WATSON	HJTA-MUD		
11. Pat Samsell	Association of Retired Employees City of Stockton		
12. DWANE MILNES	Assoc of Retired Employees of City of Stockton		
13. John Johns	JOHNS CPA		
14. DANE MACEDO	IAFF Local 456		
15. JOE SANTELLA	OPERATING ENGINEERS LOCAL UNION #3		
16. Joe Rose	Stockton City Employees Assn; Operating Engineers Local 3		

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CITY OF STOCKTON
April 30, 2012
AB 506 Meeting in Sacramento

NAME	REPRESENTING	EMAIL ADDRESS	PHONE NUMBER
17. Deben Froshaug	Stockton City Employee's Assoc.		
18. Veronica Segura	Stockton City Employees' Association		
19. Alan C. Davis	Stockton POA		
20. Deborah Collins	Price T/S		
21. Kristina Burrows	Price Plaintiffs		
22. Bridget Sanders	Stockton POA		
23. David E. Mastagni	Stockton POA		
24. Isaac Stevens	Stockton POA		

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CITY OF STOCKTON
April 30, 2012
AB 506 Meeting in Sacramento

NAME	REPRESENTING	EMAIL ADDRESS	PHONE NUMBER
25. GREG BIDDLE	IAFF L-456		
26. BRYAN CARR	IAFF L-456		
27. Mark Renner	IAFF L-456 (legal counsel)		
28. Jim Barry	Dexia		
29. Bill Kannel	Mintz Levin Counsel to Wells Fargo as Indenture Counsel		
30. Jim Johnston	Dewey & Le Boeuf - counsel to Franklin		
31. Max Islinger	Miller Buckire - FA to National		
32. STUART ERICKSON	MILLER BUCKIRE FA to NPFG		

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CITY OF STOCKTON
April 30, 2012
AB 506 Meeting in Sacramento

NAME	REPRESENTING	EMAIL ADDRESS	PHONE NUMBER
33. Kyle Herman	Miller Buckfire FA to NPFG		
34. AUSTIN LOCKE	MILLER BUCKFIRE FA to NPFG		
35. John Jordan	NPFG		
36. Matt Cohn	NPFG		
37. RODOLFO ESQUIVEL	ALVAREZ & MARSAL FA TO ASSURED G.		
38. Bill Roberti	Alvarez & MARSAL FA To Assured G.		
39. Nancy Zielke	Alvarez, Marsal FA to Assured G		
40. Guy Neal	Sidley Austin LLP Assured		

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CITY OF STOCKTON
April 30, 2012
AB 506 Meeting in Sacramento

NAME	REPRESENTING	EMAIL ADDRESS	PHONE NUMBER
41. Eric Taghman	Sidley Austin LLP for Assured		
42. Stephanie Huffner	Price Plaintiffs		
43. TODD WILLIAMS	Price Pls		
44. Rob McWhorter	CalPERS		
45.			
46.			
47.			
48.			

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CITY OF STOCKTON
May 1, 2012
AB 506 Meeting in Sacramento

NAME	REPRESENTING	EMAIL ADDRESS	PHONE NUMBER
1. RALPH MABEY	Neutral Evaluator		
2. Wm V. Roberts	A Sm Assured		
3. Nancy Zielke	Alvarez & Marsal FA - Assured		
4. RODOLFO ESQUIVEL	ALVAREZ & MARSAL FA - ASSURED.		
5. Deborah Collins	Price Pls		
6. Kristina Burrows	Price Plaintiffs		
7. TODD WILLIAMS	Price Plaintiffs		
8. Stephanie Haffner	Price Plaintiffs		

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CITY OF STOCKTON
May 1, 2012
AB 506 Meeting in Sacramento

NAME	REPRESENTING	EMAIL ADDRESS	PHONE NUMBER
9. Kyle Herman	Miller Buckfire NPFG		
10. Stuart Ferguson	Miller Buckfire NPFG		
11. Max Islinger	Miller Buckfire NPFG		
12. AUSTIN LOCKE	MILLER BUCKFIRE NPFG		
13. Rob McWhorter	CalPERS		
14. Jim Barry	Dexia		
15. Patrick Samson	ARECOS		
16. Dwane Milnes	ARECOS		

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CITY OF STOCKTON
May 1, 2012
AB 506 Meeting in Sacramento

NAME	REPRESENTING	EMAIL ADDRESS	PHONE NUMBER
17. Bob Leland	Mgt Partners		
18. Kimberly Trammel	City of Stockton		
19. Andrew Belknap	Management partners		
20. John Luebbarke	City		
21. DAVE MILLICAN	City of Stockton		
22. VANESSA BURKE	COS		
23. MIKE BRIDGES	Management Partners		
24. JOHN JOHNS	JOHNS CPA		

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CITY OF STOCKTON
May 1, 2012
AB 506 Meeting in Sacramento

NAME	REPRESENTING	EMAIL ADDRESS	PHONE NUMBER
25. Jane Macedo	IAFF 456		
26. Bryan Carr	IAFF 456		
27. Joe Santella	Operating Engineers Local Union #3		
28. Joe Rose	Stockton City Employees Assn; Operating Engineers Local 3		
29. Debra Fraskang	Stockton City Employee's Assoc		
30. Veronica Segura	Stockton City Employees Assn.		
31. Bridget Sanders	Stockton POA		
32. David E. Mastagni	Stk POA		

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CITY OF STOCKTON
May 1, 2012
AB 506 Meeting in Sacramento

NAME	REPRESENTING	EMAIL ADDRESS	PHONE NUMBER
33. ISAAC STEVENS	STOCKTON POLICE OFFICERS' ASSN.		
34. Alan C. Davis	Stockton Police Officers' Assn.		
35. John Knox	stockton - DHS		
36. Marc Levinson	Orrick for City of Stockton		
37.			
38.			
39.			
40.			

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Stockton AB506 Mediation
6/11/2012

Name	Organization
Dania Torres Wong Romas Sloan Holtzman Sahai	For City
Ann Goodrich RSHS	For City
Jon Holtzman RSHS (attorney)	City
Steven Fulberstein	Retirees
Cynthia Neely	Retirees
Marc Levinson	Office for City

Exhibit B

OUTCOME OF AB 506 MEDIATION SESSIONS

Participant	Outcome from Mediation (as of June 28, 2012 Petition Date)	Status as of July 20, 2012
Labor Groups		
Stockton City Employees Association (SCEA)	Many offers and counteroffers exchanged. Tentative agreement reached. Awaiting membership vote, to be followed by consideration by the City Council.	Tentative agreement ratified by union members on June 29. On the July 24 City Council agenda for final approval.
Operating Engineers Local Union 3 - Maintenance and Operations Employees	Many offers and counteroffers exchanged. Tentative agreement reached. Awaiting membership vote, to be followed by consideration by the City Council.	Tentative agreement ratified by members of the union on July 13. On the July 24 City Council agenda for final approval.
Operating Engineers Local Union 3 - Trades and Maintenance Employees	Many offers and counteroffers exchanged. Tentative agreement reached. Awaiting membership vote, to be followed by consideration by the City Council.	Tentative agreement ratified by members of the union on July 13. On the July 24 City Council agenda for final approval.
Operating Engineers Local Union 3 - Water Supervisor Employees	Many offers and counteroffers exchanged. Tentative agreement reached. Awaiting membership vote, to be followed by consideration by the City Council.	Tentative agreement ratified by members of the union on July 13. On the July 24 City Council agenda for final approval.
Stockton Police Management Association (SPMA)	Many offers and counteroffers exchanged. Tentative agreement reached. Awaiting membership vote, to be followed by consideration by the City Council.	Tentative agreement ratified by union members on July 11. On the July 24 City Council agenda for final approval.
Mid-Management/ Supervisory Level Unit (B&C)	Many offers and counteroffers exchanged. Tentative agreement reached. Awaiting membership vote, to be followed by consideration by the City Council.	Tentative agreement ratified by union members on July 2. On the July 24 City Council agenda for final approval.
Stockton Fire Management Unit	Many offers and counteroffers exchanged. No agreement reached.	No agreement.

Participant	Outcome from Mediation (as of June 28, 2012 Petition Date)	Status as of July 20, 2012
International Association of Firefighters Local 456	Many offers and counteroffers exchanged. No agreement reached.	No agreement.
Stockton Police Officers Association (SPOA)	Many offers and counteroffers exchanged. No agreement reached.	No agreement.
Retired Employees		
Association of Retired Employees of the City of Stockton	ARECOS made two counteroffers, but no agreement reached.	No agreement.
Banking, Financial Institutions and Insurers (Debt)		
Assured Guaranty	No counteroffer and no agreement reached.	No agreement.
Franklin Advisers	Exchange of counteroffers, but no agreement reached.	No agreement.
National Public Finance Guarantee Corporation	No counteroffer and no agreement reached.	No agreement.
Wells Fargo Bank, National Association, as Indenture Trustee	No counteroffer and no agreement reached.	No agreement.
Others		
Howard Jarvis Taxpayers Association	No counteroffer and no agreement reached.	No agreement.
Price Case plaintiffs	Exchange of counteroffers, but no agreement reached.	No agreement.

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